



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Frederick G. St. Goar et al.      Art Unit : 3738  
Serial No. : 10/635,776      Examiner : Unknown  
Filed : August 5, 2003      Conf. No. : 1704  
Title : METHODS AND APPARATUS FOR CARDIAC VALVUE REPAIR

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of a Filing Receipt and a Notice of Acceptance of Power of Attorney received from the PTO in the above-captioned patent application for which issuance of a corrected filing receipt is respectfully requested.

Please change the **ATTORNEY OF RECORD** information to read as follows:

**FRED C. HERNANDEZ**  
Fish & Richardson P.C.  
12390 El Camino Real  
San Diego, California 92130

Applicants further request to change the **ATTY. DOCKET NO./TITLE**  
020489-000140US to read as follows:

**17315-002001**

Applicants respectfully request that these errors be corrected and Applicants be provided a new Official Filing Receipt.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 29, 2004

Date of Deposit

Signature

Cecilia Tobin

Typed or Printed Name of Person Signing Certificate

Applicant : Frederick G. St. Goar, et al.  
Serial No. : 10/635,776  
Filed : August 5, 2003  
Cust. No. : 20985  
Page : 2 of 2  
REQUEST FOR CORRECTED FILING RECEIPT

Attorney's Docket No.: 020489-000140US  
(17315-002001)

Please apply any charges not covered, or any credits, to Deposit Account No. 06-1050.

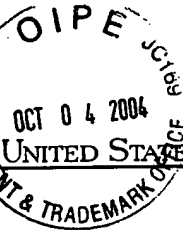
Respectfully submitted,

Date: September 29, 2004



Fred C. Hernandez  
Reg. No. 41,832

**USPTO Customer No.: 20985**  
Fred C. Hernandez  
Fish & Richardson P.C.  
12390 El Camino Real  
San Diego, California 92130  
Telephone: (858) 678-5625  
Facsimile: (202) 626-7796  
Email: fhernandex@fr.com



## UNITED STATES PATENT AND TRADEMARK OFFICE

020489-000140US LM

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO                 | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|---------------------------------|----------|----------|----------|
| 10/635,776 | 08/05/2003                | 3738     | 771           | 020489-000140US<br>17315-002001 | 84       | 50       | 6        |

20985

20350

TOWNSEND AND TOWNSEND AND CREW, LLP  
 TWO EMBARCADERO CENTER  
 EIGHTH FLOOR  
 SAN FRANCISCO, CA 94111-3834

FRED C. HERNANDEZ  
 FISH & RICHARDSON P.C.  
 12390 EL CAMINO REAL  
 SAN DIEGO CA 92130

CONFIRMATION NO. 1704

## FILING RECEIPT



\*OC000000011184266\*

Date Mailed: 11/05/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Frederick G. St. Goar, Menlo Park, CA;  
 James I-Lin Fann, Portola Valley, CA;  
 Mark E. Deem, Mountain View, CA;  
 Hanson s. Gifford III, Woodside, CA;  
 Martin S Dieck, Cupertino, CA;  
 Brian B. Martin, Boulder Creek, CA;  
 Sylvia Wen-Chin Fan, San Francisco, CA;  
 Erik A. Goldfarb, San Francisco, CA;  
 Kent D. Dell, Redwood City, CA;  
 Ferolyn T. Powell, San Francisco, CA;

## Assignment For Published Patent Application

EVALVE, INC., Redwood City, CA;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/544,930 04/07/2000 PAT 6,629,534  
 which claims benefit of 60/128,690 04/09/1999

## Foreign Applications

If Required, Foreign Filing License Granted: 11/04/2003

Projected Publication Date: 02/12/2004

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Methods and apparatus for cardiac valve repair

Preliminary Class

128

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

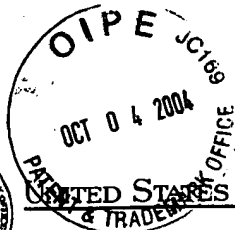
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

17315-002001

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|------------------------|-----------------------|------------------------|
| 10/635,776         | 08/05/2003             | Frederick G. St. Goar | 020489-000140US        |

FRED C. HERNANDEZ  
~~FRED C. HEMANDEZ~~  
FISH & RICHARDSON P.C.  
12390 EL CAMINO REAL  
SAN DIEGO, CA 92130

RECEIVED

APR 12 2004

FISH & RICHARDSON, P.C.  
SAN DIEGO

CONFIRMATION NO. 1704



\*OC000000012296117\*

Date Mailed: 04/07/2004

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/18/2004.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

CAROL A LAKE  
3700 (703) 308-0873

ATTORNEY/APPLICANT COPY